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PTO/SB21 (08-00)

2811
#4
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2-27-03

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/895,997
Filing Date	June 28, 2001
First Named Inventor	Shinya Hasegawa
Group Art Unit	2811
Examiner Name	Not yet assigned
Attorney Docket Number	2102475-991130

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement with 1 reference
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

- Postcard

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Edward B. Weller (Reg. No. 37,468) Gray, Cary Ware & Freidenrich
Signature	<i>Ed B Weller</i>
Date	February 3, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, Washington, DC 20231 on this date: February 3, 2003

Typed or printed name	Susan Pingue	Date	February 3, 2003
Signature	<i>Susan Pingue</i>		

**INFORMATION DISCLOSURE
STATEMENT**

Applicants:	Shinya Hasegawa
Application No.	09/895,997
Filed:	June 28, 2001
For:	SEMICONDUCTOR INTEGRATED CIRCUIT AND FUNCTIONAL BLOCK OF THE SAME
Group Art Unit:	2811
Examiner:	Not yet assigned
Attorney Docket No.:	2102475-991130

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as known to the undersigned prior to the mailing date of either a final rejection or notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

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An English translation of the office action from the Taiwan Patent Office is submitted as a concise explanation of relevance for the cited reference.

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Respectfully submitted,

Date: February 3, 2003

GRAY CARY WARE & FREIDENRICH LLP

By: Edward B. Weller
Reg. No. Edward B. Weller (Reg. No. 37,468)
Attorneys for Applicant(s)
1755 Embarcadero Road
Palo Alto, CA 94304
650-833-2436

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February 3, 2003
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Susan Pingue
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